KD_IM-742592_1.DOC NOV 1 4 2006 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Before the Examiner: In re patent application of: Thai Ba Trieu John M. Mulloy et al. Group Art Unit: Patent Application Serial No.: 10/840,057 3748 Filed May 6, 2004 Attorney Docket No.: METHOD OF CONTROLLING THE CECO-5 EXHAUST GAS TEMPERATURE FOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

GEOMETRY TURBINE

AFTER-TREATMENT SYSTEMS ON A DIESEL ENGINE USING A VARIABLE

Dear Sir:

Pursuant to the duty of disclosure in 37 C.F.R. §1.56, Applicant wishes to bring to the attention of the Examiner the references listed on the enclosed PTO 1449 form. No copies of the U.S. references listed on the PTO 1449 form are enclosed.

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John H. Allie

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The filing of this Supplemental Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

This Statement is being submitted after the mailing of an Office Action on the merits but prior to the mailing of a final Action or a Notice of Allowance. Therefore, a fee in the amount of \$180.00 is enclosed for consideration of this Supplemental Information Disclosure Statement. Should any additional fees be required, please charge such fee to Deposit Account No. 12-2424, but not to include any payment of issue fees.

Respectfully Submitted:

/___/

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NOV 1 4 2006 PTO/SB/08A (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

er the Paperwor	k Reduction Act of 1995, no persons are required	to respond to a collection of informa	ation unless it contains a valid OMB co	ntrol number.		
ubstitute for form 1449/PTO		Complete if Known				
		Application Number	10/840,057			
NFORMATION DISCLOSURE		Filing Date	May 6, 2004			
		First Named Inventor	John M. Mulloy			
STATEN	IENT BY APPLICANT	Art Unit	3748			
(Use as many sheets as necessary)		Examiner Name	Thai Ba Trieu			
	24	Attorney Docket Number	CFCO-5			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	\vdash	^{US-} US 2004/0159098	8/19/2004	Gui et al.	
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		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number * "Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T⁵
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Examiner		Date	
Signature		Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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